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NATIONAL REGISTRATION AND IDENTIFICATION SYSTEM (NRIS) PROJECT

Quarterly Progress report (01 January 2017 to 31 March 2017)

Project Title:	National Registration and Identification System
UNDP Project #:	00100113
Project Duration:	01 November 2016 – 31 December 2018
Project Resources:	Basket Fund
UNDP Focal Point:	Titus Kavalo

UNDAF Outcome:	National institutions foster democratic governance and human rights to promote transparency, accountability, participation and access to justice for all especially women and children
Corporate SP Outcome:	Citizen expectations for voice, development, the rule of law and accountability are met by stronger systems of democratic governance
Project Specific Outcome:	The establishment of a permanent and continuous national registration and identification system in Malawi.
Output(s):	<ol style="list-style-type: none"> 1. Up to 9 million Malawians are registered and issued with a National Identity card in 2017. 2. NRIS is transitioned to a permanent and continuous registration system. 3. Government MDAs are assisted to adopt the use of the NRIS. 4. Project is efficiently managed, staffed and coordinated, and is implemented with national ownership.
Project Location(s):	Lilongwe, Malawi

Project Donors



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Acronyms

BRK	Biometric Registration Kit
COMESA	Common Market for Eastern and Southern Africa
DFID	Department for International Development
DRO	District Registration Officer
EU	European Union
GWAN	Government Wide Area Network
HQ	Headquarters
ICAO	International Civil Aviation Organization
ICT	Information and Communication Technology
ITB	Invitation for Bids
MEC	Malawi Electoral Commission
MDAs	Ministries, Departments and Agencies
MoU	Memorandum of Understanding
NICE	National Initiative for Civic Education
NRB	National Registration Bureau
NRIS	National Registration and Identification System Project
PSU	Procurement Services Unit
SADC	Southern African Development Community
SDGs	Sustainable Development Goals
SP	Strategic Plan
UNDAF	United Nations Development Assistance Framework
UNDP	United Nations Development Programme
USAID	United States Agency for International Development

1. Executive Summary

The purpose of the National Registration and Identification System (NRIS) Project is to establish a permanent and continuous national registration and identification system in Malawi. The project will contribute to Government's efforts to guarantee the fundamental right to identity, entitlement and enjoyment of full citizenship in Malawi.

The initiative is consistent with Sustainable Development Goal (SDG) 16 to: "promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels". Specifically, the NRIS will address SDG Target 16.9 that refers to providing legal identity for all, and will also facilitate the goal of achieving comprehensive birth registration by 2030. The Whole of Government impact of the system will offer improvements in planning, service delivery, and the operation of administrative systems supported by a functional NRIS.

This progress report presents a summary of work completed for the NRIS project delivered by 31 March 2017.

Some of the key milestones achieved during the reporting period include:

- Detailed 2017 Annual Work Plan was developed with NRB and approved by both the Technical and Steering Committees.
- Launch of the Invitation to Bid (ITB) by UNDP Procurement Services Unit (PSU), evaluation, selection and contracting of the recommended bidder for the supply of 2,000 Biometric Registration Kits (BRKs) has been completed.
- Launch of the ITB by UNDP PSU for the supply of the Smart Cards, bids opening, evaluation and submission of recommended bidder for Award.
- Launch of the ITB, evaluation and selection of the Human Resource Management and Payroll Service Provider for the recruitment, administration and management of payroll activities of the 4,000 Registration Officers and 200 Supervisors for the mass registration has been completed.
- Warehouses for the BRKs and other equipment to be used for mass registration are being identified.
- Key project staff positions have been filled.

2. Implementation Progress

Brief Background

Malawi endures a structural development challenge in the absence of an authoritative, comprehensive and accurate system of national identification. Fundamentally undermining most citizens' right to identity, the consequences are multi-sectoral, where citizens' access and entitlement to services are uncertain.

Malawi is the only country in the Southern African Development Community (SADC) or Common Market for Southern and Eastern Africa (COMESA) that does not have a functional national registry and identification system. Moreover, Malawi is only now starting to re-establish its system of civil registration and vital statistics (CRVS), to comprehensively register births, deaths, and marriages. The absence of these two systems (NRIS and CRVS, collectively known as a population register), which are mandates of the NRB within the Ministry of Home Affairs and Internal Security, undermines an individual's ability to claim their citizen's rights and services, as well as Government's

ability to fulfil its obligations to provide inclusive social services, accountable administrative systems, and to foster evidence-based policy formulation and decision-making.

Efforts in various arenas have led to fragmented initiatives, creating costly or unsustainable silos of information, while also imposing institutional and technical obstacles to interlink information. The Malawi National Registration Act (No. 13 of 2010) which entered force in August 2015 requiring all Malawians 16 years of age and older to be registered in a National Registry and to be issued with an identity card, mandates the National Registration Bureau (NRB) to fulfil this task.

As such, UNDP – with financial and technical support from key Development Partners - and in partnership with the National Registration Bureau is implementing a multi-Donor Basket funded National Registration and Identification System (NRIS) Project (2016 – 2018). The Project seeks to actualize the Right to Identity, ensuring that all Malawians 16 years and older are uniquely registered in a permanent and continuous system that provides proof of their identity, and to be issued with an identity card that is evidence of that identity. Correspondingly, the system will establish the management information systems that will allow Government and stakeholders to access and use that information in aggregate for planning, and as a central reference point for individual identity to be linked across multiple systems. Simultaneously, the management information systems and identity cards will enable the strengthening of accountability and verification processes within both the public and private sector domains that will enhance services for Malawi's citizens.

The expected results of the Project are to: design, establish and manage the necessary systems, infrastructure and equipment for the National Registration and Identity system, employing biometrically secure Smartcards; supervise the mass registration for all eligible Malawians (an estimated 9 million) within the country in 2017; transition the system to a continuous registration model in 2018 and to develop the capacity and systems of NRB to maintain and operate the system; provide an interface to other public and private sector systems that allow for appropriate data sharing within a legal framework that complies with international principles and standards for the right to privacy and data protection; and to ensure the effective management of the Project.

Cost-Sharing Agreements have been signed between UNDP and the Government of Malawi (USD\$20,347,497), DFID (9,100,000 pounds), Irish Aid (2,500,000 Euros), Norway (NOK 9,000,000) and the EU (8,000,000 Euros). UNDP has allocated USD\$2,000,000 from UNDP core resources to the project. The entire project budget is USD \$49,694,000.

The design of the card (shown in Figure 1, below) has been approved by the Minister of Home Affairs to meet the three tier (visible to the eye security features; enhanced security features that require minimal equipment to verify; and, forensic analysis features that require high end equipment such as a microscope to verify) security requirements of International Civil Aviation Organization (ICAO) and the information requirements elaborated in Section 8 of the law. As well, the card allows for data to be manually read or for various forms of machine reading (QR code, swipe read and chip read) that will avoid traditional challenges with data accuracy. Overall, the design and features of the card draw on key technologies and processes that make forgery of the card improbable, and enables mechanisms to verify its authenticity to ensure confidence that the card, as required by law, is *prima facie* evidence of the individual's recorded information.

external ID Printing Expert Consultant, subject matter expert (ID Card printing and personalization) has been assisting in the evaluation of the bids. The evaluation report has been submitted to UNDP New York for approval.

- Through a transparent and open competitive procurement process, UNDP initiated the process of identifying Human Resource Management and Payroll Service Provider for the recruitment, administration and management of payroll activities of the temporary 4,000 Registration Officers and 200 Supervisors. The Request for Proposals was published and a pre-bid conference took place. Opening and evaluation of the proposals were done. Final outcome of the evaluation of the proposals has been communicated to the successful firm. All the registration teams are expected to be on board by the first week of May, 2017 as trainings before their deployment are scheduled to take place from mid- May 2017.
- In terms of public awareness for mass registration, a Civic Education Committee chaired by the Ministry of Civic Education, Culture and Community Development has been set up and a Communication strategy was developed.
- A Task Force on transport chaired by the Office of the President and Cabinet has been set up to plan and identify various modes of transport to be used during mass registration, to mobilise the required transport and to assist the NRB in the deployment of such transport.
- The Minister for Home Affairs & Internal Security approved registration of children under 16 as part of NRIS project resulting in modification of NR1 Form, BRK Software and sub sequentially modification in NRIS database.
- Implemented pattern matching algorithm in order to mitigate the risks to register multiple records of same identity without biometrics.
- NRIS servers for the Government Disaster Recovery Center which will back up the NRB service in the data center were procured and delivered. Training on these servers is scheduled for the first week of May, 2017.

Output 2

NRIS is transitioned to a permanent and continuous registration system.

Progress

Under this Output, the following milestones were accomplished in the reporting period:

- The functional review of the NRB was completed and recommendations for new positions or additional staff were made. NRB will submit the functional review report to the office of the President and Cabinet (OPC) for approval in order to consider the new positions in the 2017/2018 National Budget.
- A Memorandum of Understanding (MoU) between the National Registration Bureau (NRB) and National Initiative for Civic Education (NICE) has been drafted.
- The Malawi Electoral Commission (MEC) and NRB had technical level meetings and further agreed on the need for regular meetings to update each other on key developments regarding the NRIS resulting on a draft MoU that will be prepared in quarter two of 2017.
- Capacity Development initiative is being prepared to assist NRB in the development of written and audio-visual material for User Manuals and Training.

Output 3

Government MDAs are assisted to adopt the use of the National Registration and Identification system (NRIS).

Progress

Under this Output, the following milestones were accomplished in the reporting period:

- Discussions were held with the following institutions in regards to adoption and acceptance of the NRIS and the use of the new national ID Card:
 - Malawi Electoral Commission (MEC);
 - Prisons department;
 - Refugees department;
 - Ministry of Health;
 - Banks representatives through the Bankers Association of Malawi and the Reserve Bank of Malawi.

Output 4

Project is efficiently managed, staffed and coordinated, and is implemented with national ownership.

Progress

Under this Output, the following milestones were accomplished in the reporting period:

- Detailed Annual Work Plan 2017 was developed with NRB and approved by the Technical Committee. It will be presented for approval by the Steering Committees on 5th April, 2017.
- A well-equipped NRIS Project Office in Lilongwe was established in Area 12 and project staff are executing their duties from there. In terms of project staffing, the status is as follows:
 - ✓ The Chief Technical Advisor was selected and reported for duties.
 - ✓ The Senior ICT Advisor was selected and reported for duties.
 - ✓ The Project Officer (Basket Fund Manager) was selected and reported for duties.
 - ✓ The ICT Specialist was selected and reported for duties.
 - ✓ The Logistics Advisor was selected and reported for duties.
 - ✓ The Legal Specialist was selected and reported for duties.
 - ✓ The M&E and Reporting Officer was selected and will report for duties soon.
 - ✓ The Capacity Development Advisor was selected and reported for duties.
 - ✓ The process of recruiting the Field Coordinator (International United Nations Volunteer) was initiated and will conclude soon.
 - ✓ The selected candidate for the Public Relations and Civic Education Advisor position declined the offer. UNDP is considering alternative solutions.
 - ✓ Shortlisting of the Finance and Administration Associate, and Logistics Associate was initiated. The selected candidate for Programme Assistant position declined the offer. UNDP is considering alternative solutions.
 - ✓ The process of recruiting three Regional Supervisors (International United Nations Volunteers) was initiated and will conclude soon.
 - ✓ The process of recruiting a Logistics Support Officer (International UNV) was initiated and will conclude soon.
 - ✓ Four Project drivers were recruited and have reported for duties.
- The project convened a meeting between NRB and MEC for the former to update the latter on the progress regarding national registration of Malawian citizens for the National ID but also discuss how National ID will be used by MEC in the process towards the 2019 tri-partite elections so that both parties are on the same page on the link between the National ID and

the voter register. The key outcome of that meeting, was a clear understanding on the technical linkage between the National ID and the voter register, and how 'One person One Identity-One Vote' System is a cornerstone to ensure free, fair and transparent elections and any inclusion or exclusion of errors.

- Monthly Technical Committee meetings held to review project performance achieved against the milestones.
- The project had its first Steering Committee meeting on 14th December, 2016. The Second Steering Committee is Planned for 05 April, 2017.

3. Progress against agreed project log frame indicators

Annex I.

4. Recommendations

Moving forward, the project will continue to prioritize:

- a. Accelerated, targeted and effective civic education campaign

The Proof of Concept phase highlighted the importance of customized public awareness campaigns to reach out to citizens to incentive registration and impart the benefits of the national ID card as per Annual Work Plan. A strategy is being implemented by NRB with UNDP technical support.

- b. Building NRB capacity

Government of Malawi (GoM) sanctioned NRB to recruit 16 additional IT Officers for mass registration. However, the Functional Review report must be approved promptly so that the recommendations on additional staffing is included in the FY2017-FY2018 National Budget Submission.

- c. Promotion of multiple use of national ID

It is critical for NRB with UNDP technical support to continue engaging in individual discussions with various stakeholders regarding multiple use of the national ID. However, to facilitate the focus of NRB on mass registration, it is recommended that the Chief Secretary should take the ownership to drive the agenda of how the GoM will start the detailed planning on the multiple use of national ID.

5. Conclusion

The 2017 first quarter progress report has highlighted activities undertaken and achievements made against the milestones. During this period, the project is on track as demonstrated by the project deliverables completed in time, within budget as per signed Project Document.

6. Future Plans

The second Steering Committee meeting planned for early April, 2017 is expected to approve the 2017 Annual Work Plan which was approved by the Technical Committee on 28th March, 2017. Annex II is a summary of the draft 2017 Annual Work Plan.

7. Financial Section

All financial data (Annex IV) presented in this report is provisional. From UNDP Bureau of Management/Office of Finance and Administration, an annual certified financial statement as of 31 December, will be submitted every year no later than 30 June of the following year.

Annexes

Annex I: Progress against agreed project log frame indicators:

PROGRAMME TITLE	NATIONAL REGISTRATION AND IDENTIFICATION PROJECT (NRIS)						
IMPACT	Impact Indicator 1		Baseline (Nov 2016)	Milestone (Feb 2017)	Milestone (Feb 2018)	Target (Feb 2019)	COMMENT
Improvements in service delivery, governance, and economic and social inclusion supported by a functional NRIS	Number of MDAs actively using the NRIS for administrative or operational purposes	Planned	0			10	
		Achieved					
		Source: UNDP/NRB Reporting					
OUTCOME	Outcome Indicator 1		Baseline (Nov 2016)	Milestone (Feb 2017)	Milestone (Feb 2018)	Target (Feb 2019)	
The establishment of a functional and sustainable national registration and identification system (NRIS) in Malawi	Percentage of eligible resident Malawians successfully registered and issued with a National ID card	Planned	0%			90%	
		Achieved					
		Source: UNDP/NRB Reporting					
OUTPUT 1	Output Indicator		Baseline	Milestone (Feb	Milestone	Target (Feb	

	1.1		(Nov 2016)	2017)	(Feb 2018)	2019)	
Up to 9m Malawian citizens registered and issued with National ID Cards as part of mass registration process	Number of Malawians registered in the National Register as part of mass registration process, disaggregated by gender	Planned	0 F / 0 M	Tenders received for procurement of biometric registration kits.	4.25m F / 4.25m M	4.25m F / 4.25m M	
		Achieved		Tenders received and opened. All other processes including Site Validation and Laboratory Testing done. Contracting of successful bidder was finalized.			
	Source: UNDP/NRB Reporting						
	Output Indicator 1.2		Baseline (Nov 2016)	Milestone (Feb 2017)	Milestone (Feb 2018)	Target (Feb 2019)	
	Number of Malawians issued	Planned	0 F / 0 M	0 F / 0 M	4.25m F / 4.25m M	4.25m F / 4.25m M	

	with a National ID card as part of mass registration process, disaggregated by gender	Achieved		0 F / 0 M			
		Source: UNDP/NRB Reporting					
	Output Indicator 1.3		Baseline (Nov 2016)	Milestone (Feb 2017)	Milestone (Feb 2018)	Target (Feb 2019)	
	Number of unique paid-for information campaign products aired on radio	Planned	0	1	10	10	
		Achieved		0			
		Source: UNDP/NRB Reporting					
OUTPUT 2	Output Indicator 2.1		Baseline (Nov 2016)	Milestone (Feb 2017)	Milestone (Feb 2018)	Target (Feb 2019)	
Capacity of National Registration Bureau (NRB) strengthened to enable it to maintain a continuous NRIS	Number of District Registration Offices equipped for continuous registration	Planned	0	0	28	28	
		Achieved		0			
		Source: UNDP/NRB Reporting					
	Output Indicator		Baseline	Milestone (Feb	Milestone	Target (Feb	

	2.2		(Nov 2016)	2017)	(Feb 2018)	2019)	
	Percentage of registrars adequately trained in accordance with rules and procedures	Planned	0%	5%	90%	90%	
		Achieved		0			The 5% February 2017 milestone has not been achieved. NRB and UNDP are working on a plan to make sure the trainings happen to stay the course.
		Source: UNDP Reporting/NRB					
	Output Indicator 2.3		Baseline (Nov 2016)	Milestone (Feb 2017)	Milestone (Feb 2018)	Target (Feb 2019)	
	Number of Malawians issued with a National ID card as part of continuous registration process, disaggregated by gender	Planned	0 F / 0 M	NA (continuous registration starts in 2018)	NA (continuous registration starts in 2018)	0.15m F / 0.15m M	
		Achieved		NA (continuous registration starts in 2018)			
		Source: UNDP/NRB Reporting					
	Output Indicator		Baseline	Milestone (Feb	Milestone	Target (Feb	

	2.4		(Nov 2016)	2017)	(Feb 2018)	2019)	
	Proposed amendment of National Registration Act submitted to Ministry of Justice	Planned	Not submitted	Drafting in Progress	Submitted	Submitted	
		Achieved		Drafting has not started yet			UNDP will follow up with NRB to make sure the drafting process gets underway and provide the necessary needed technical support following the recruitment of the Legal Specialist who has reported for duties.
		Source: UNDP/NRB Reporting					
OUTPUT 3	Output Indicator 3.1		Baseline (Nov 2016)	Milestone (Feb 2017)	Milestone (Feb 2018)	Target (Feb 2019)	
Government ministries,	Number of	Planned	0	4	5	10	

departments and agencies (MDAs) supported to adopt the NRIS for the own needs	workshops held with individual MDAs to formulate plans for using the NRIS	Achieved		4 (with MEC, Prisons department, Refugees department, Ministry of Health)			February 2017 milestone achieved.
	Source: UNDP/NRB Reporting						
	Output Indicator 3.2		Baseline (Nov 2016)	Milestone (Feb 2017)	Milestone (Feb 2018)	Target (Feb 2019)	
	Number of MDAs with agreed MoUs with NRB	Planned	0	1	5	10	
		Achieved		0 (1 MoU being drafted between NICE and NRB)			UNDP will follow up with NRB to make sure the MoU between them is completed and agreed upon within the month of April, 2017.
Source: UNDP/NRB Reporting							
OUTPUT 4	Output Indicator 4.1		Baseline (Nov 2016)	Milestone (Feb 2017)	Milestone (Feb 2018)	Target (Feb 2019)	

NRIS project implementation well-managed and coordinated, with appropriate levels of national ownership	Number of project Steering Committee / Technical Committee meetings held per year	Planned	0 / 0	1 / 2	4 / 8	8 / 16	
		Achieved		1 / 3			February 2017 milestone achieved.
	Source: UNDP Reporting						
	Output Indicator 4.2		Baseline (Nov 2016)	Milestone (Feb 2017)	Milestone (Feb 2018)	Target (Feb 2019)	
	Percentage of project staffing roles filled	Planned	0%	75%	95%	95%	
Achieved			66%			The February 2017 milestone of 75% was not achieved. The selected candidate for the posts of Programme Assistant and Public Relations and Civic Education Advisor post turned down the offers and UNDP is considering alternatives. UNDP is also finalising recruitment processes for the remaining posts so that 100% of project	

							staffing roles are filled.
			Source: UNDP Reporting				

Annex II: Summarized draft 2017 Annual Work Plan

Summary AWP

Expected output and indicators, including annual targets	Planned Activities			Amount (US\$)-2017
Output 1: Up to 9 million Malawians are registered and issued with a National Identity card in 2017				\$ 29,642,084
Number of Malawians issued with a National ID card, disaggregated by gender. Baseline (2016): 0 Target (2017): >8.5 Million	Activity Result 1.9: Up to 9 million National ID cards are produced, personalized and distributed to citizens			\$ 17,209,340
-	Activity Result 1.2: At least 2,000 Biometric registration kits (BRKs) are procured and deployed			\$ 6,155,677
Number of Malawians registered in the National Register, disaggregated by gender. Baseline (2016): 6000 Target (2017): >8.5 Million	Activity Result 1.6: Registration teams are recruited, trained and deployed and field registration is conducted in five phases			\$ 4,775,067
Number of paid information campaign products aired on radio.	Activity Result 1.5: Operational information campaigns are conducted for each phase and targeted efforts are made for vulnerable groups.			\$ 740,000

Baseline (2016): 0 Target (2017): 10		
	Activity Result 1.4: Inclusive national civic awareness campaign is conducted on NRIS.	\$ 110,000
	Activity Result 1.1: Central database software and registration software developed, tested and installed	\$ 351,000
	Activity Result 1.8:Provisional registration data is displayed and amendments made	\$ 110,000
	Activity Result 1.10 Monitoring	\$ 100,000
Proposed amendment of National Registration Act submitted to Ministry of Justice. Baseline (2016): 0 Target (2017): 1	Activity Result 1.3: National Registration legal framework is reviewed and necessary amendments proposed to Ministry of Justice.	\$ 60,000
	Activity Result 1.7: Registration data is consolidated and biometric de-duplication conducted by phase	\$ 31,000
Output 2: A7NRIS is transitioned to a permanent and continuous registration system		\$ 3,065,000
	Activity Result 2.12:NRB Strategic Plan developed for 2019-2020	\$ -
-	Activity Result 2.11:Regulations and procedures developed for access and use of National Registry that respect right to privacy and data protection	\$ 12,500
Percentage of registrars trained in rules and procedures. Baseline (2016): 0 Target (2017): 70%	Activity Result 2.4:Develop communication strategies and reporting procedures between HQ, district and local levels	\$ -
	Activity Result 2.1: Develop NRIS policies and Memoranda of Understanding (MoU) between NRB and MEC, MPC and NICE	\$ 12,500
	Activity Result 2.2: Required additional NRB staff are recruited and deployed	\$ -

Number of District Registration Offices equipped for continuous registration Baseline (2016): 0 Target (2017):10 Target (2018): 20	Activity Result 2.3:NRB staff are trained in national registration management	
	Activity Result 2.5:NRB/GWAN ICT staff trained in the maintenance of the National Register	\$ -
	Activity Result 2.9:Ongoing inclusive public awareness and targeted information campaigns are conducted	\$ -
	Activity Result 2.10:Registration of up to 0.5 million new persons through continuous registration and update of existing records	\$ -
	Activity Result 2.6:District Registration Offices (DROs) and Post Office facilities are equipped for continuous registration and update services	\$ 50,000
	Activity Result 2.7:Renovate office space for DROs and Post Office facilities	\$ 536,000
	Activity Result 2.13: Monitoring	\$ 56,000
Output 3: Government MDAs are assisted to adopt the use of the NRIS.	Output 3.	\$ 32,500
	Activity Result 3.1:Policy advisory services provided to MDAs	\$ 10,000
-	Activity Result 3.2: Assist MDAs in the adoption, integration and use of the NRIS	\$ 10,000
Number of meetings held with individual MDAs to formulate plans for using the National ID in data collection. Baseline (2016): 0 Target (2017): 5	Activity Result 3.3: Formulate and develop inter-institutional agreements with MDAs for access and use of National Registry reports	\$ 12,500

Number of inter-institutional agreements between NRB and MDAs to receive aggregate reports. Baseline (2016): 0 Target (2017): 5	Activity Result 3.4: Excess BRKs are reconfigured and reassigned to MDAs							\$ -
Output 4: Project is efficiently managed, staffed and coordinated, and is implemented with national ownership	Output 4.							\$ 3,364,701
	Activity Result 4.1: Project staffed, equipped and operational							\$ 3,208,701
-	Activity Result 4.2: Regular Steering Committee meetings conducted							\$ -
Percentage of agreed M&E activities implemented. Baseline (2016): 0 Target (2017): 80%	Activity Result 4.3: Regular Technical Committee meetings conducted							\$ -
Percentage of agreed M&E activities implemented. Baseline (2016): 0 Target (2017): 80%	Activity Result 4.4: M&E plan is developed and implemented							\$ 156,000
Percentage of Project positions	Activity Result 4.5: Project external audits are conducted							\$ -

filled. Baseline (2016): 0 Target (2017): 95%								
Steering and Technical Committee meetings held per year. Baseline (2016): 0/0 Target (2017): 4/8	Activity Result 4.6: Project Final Evaluation is conducted							\$ -
							Sub Total	\$ 36,104,285
							Unforeseen Capacity Gap	\$ 1,805,214
							UNDP Procurement Support Office (PSO) (4.5%)	\$ 1,035,613
							SUB-TOTAL EXPENDITURES	\$ 38,945,112
							GMS	\$ 1,894,666
							AWP Total	\$ 40,839,778

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Annex III: Risk Log:

#	Description	Date Identified	Type	Impact & Probability	Countermeasures / Mngt response	Owner	Updated by	Last Update	Status
2	Slippage on operational timelines	20 Oct 16	Operational Total: 20	Timelines are constrained with census in 2018 and elections in 2019. If not implemented on schedule major deviations off plan may need to be considered. P = 4 I = 5	Monthly monitoring of progress in Technical Committee to determine corrective actions as necessary.	Technical Committee	CTA	20 Oct 16	No Change. (30 Nov)
3	Institutional national capacity	20 Oct 16	Operational Total: 20	A lack of sufficiently trained national staff will compromise the implementation and sustainability of continuous registration. P = 4 I = 5	Government is conducting a functional review to increase staffing. Project has capacity building and training elements for skill transfer. Escalate to SC for attention: recruitment and ORT increases as necessary.	GoM UNDP	CTA	19 Jan 2017	Amended. (19 January 2017) P amended from 3 to 4 in view of delays in the functional review and the increase in NRB staffing required. To be escalated to SC.
10	Serviceable transport assets	30 Nov 16	Operational Total: 16	Vehicles received by Government to meet transportation requirements for mass registration are insufficient or not in serviceable order. P = 4 I = 4	Transportation committees to be introduced into planning to coordinate. Early action by OPC on transportation requirements. Vehicles are subject to fitness test prior to receipt.	GoM	CTA	30 Nov 16	New Risk (30 Nov). P = 4; I = 4. Risk identified from PoC and reflects similar challenges in elections.
1	Funding availability	20 Oct 16	Financial Total: 15	Late deposit of funds will undermine operational delivery and confidence of stakeholders. P = 3 I = 5	Contributions will be integrated into a Basket Fund. All contributions will be subject to agreements stating the timeline.	Technical Committee	CTA	20 Oct 16	Amended. (30 Nov) P amended from 5 to 3 based on initial receipt of funds
4	External fraud	20 Oct 16	Operational	Non-Malawians seek to register as	Operational policies and	NRB/UNDP	CTA	20 Oct 16	No Change. (30

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			Total: 15	Malawians, undermining the integrity of the NRIS. P = 5 I = 3	procedures developed to prove entitlement at registration. Public information campaigns will highlight criminality. Coordination with law enforcement.				Nov)
5	Procurement timelines	20 Oct 16	Operational Total: 6	Procurement timelines are not met, creating operational delays. P = 2 I = 3	Procurement expertise of UNDP PSO to be retained. Close monitoring of progress against benchmarks to effect	UNDP	CTA	28 March 17	Amended. (28 March 2017) P amended from 3 to 2 and I amended from 4 to 3 given the level of procurement already taken.
6	Technology adoption	20 Oct 16	Operational Total: 12	Introduction of new technologies and systems, introduces unprecedented challenges for implementation and sustainability. P = 3 I = 4	International expertise to implement under the Project, supported by contractor arrangements. Skills transfer for the new technologies is built into the design of the Project.	UNDP/NRB	CTA	20 Oct 16	No Change. (30 Nov)
7	Adequate data protection provisions	20 Oct 16	Legal Total: 12	Failure to protect privacy and data can undermine confidence in registering and erodes the right to privacy of individuals. P = 3 I = 4	A review of the National Registration Act and development of amendments is part of the Project deliverables. The introduction of the Electronic Transactions Bill will strengthen rights to privacy and data protection.	UNDP /GoM	CTA	20 Oct 16	No Change. (30 Nov)
8	Network connectivity	20 Oct 16	Operational Total: 10	Limited or unstable access to internet connectivity can undermine data movement. P = 5 I = 2	Redundant mechanisms and systems for data transfer to be developed.	GoM	CTA	20 Oct 16	No Change. (30 Nov)

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9	Wet season disrupts operations	20 Oct 16	Environmental Total: 10	Off-schedule wet season denies or disrupts access for citizens to register. P = 2 I = 5	Operational planning and phased approach to registration will take into account wet season.	UNDP/NRB	CTA	20 Oct 16	No Change. (30 Nov)
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Note: P stands Probability and I stands for Impact.

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Annex IV: Financial Utilisation:

Q4 2016 and Q1 2017 Budget Variance Analysis				
OUTPUTS NAME	Cumulative budget Q4 2016 & Q1 2017(US Dollar)	Cumulative expenditures Q4 2016 & Q1 2017(US Dollar)	Variance(US Dollar)	Comments on principal Variances
Output 1: Up to 9 million Malawians are registered and issued with a National Identity card in 2017	6,297,677	14,502	6,283,175	-The procurement of BRKs (US\$ 5,985,777) is on process. They should be delivered in may 2017. This activity is reprogrammed for the 2nd quarter. -The procurement of disaster recovery site servers and equipments(US\$ 175,000) are delivered in April. This activity is reprogrammed for the 2nd quarter. -The procurement of Software quality Assurance (US\$ 50,000) is in process. This activity is reprogrammed for the 2nd quarter.
Output 2: NRIS is transitioned to a permanent and continuous registration system	14,000	-	14,000	M&E activity will start in the next quarter.
Output 3: Government MDAs are assisted to adopt the use of the NRIS.	-	-	-	
Output 4: Project is efficiently managed, staffed and coordinated, and is implemented with national ownership	1,136,095	671,395	464,700	The main reason of the variance is due to the delay on th recruitment process. All staff will be on board on the 2nd quarter. -US\$ 150,000(as savings) will be reallocated to activity 1.6.3 "Contracting of HR service provider" to cover the gap between the Budget and PWC payments based on delivery.
SUB-TOTAL OUTPUTS	7,447,772	685,897	6,761,875	-
Technical Support for Unforeseen Capacity Gaps (5%)	372,389	-	372,389	
UNDP Procurement Support Office (PSO) (4.5%)	269,360	270,879	(1,519)	
General Management Service Fees (GMS) (variable)	287,791	13,100	274,691	
TOTAL	8,377,312	969,877	7,407,436	-

National Registration and Identification System Project
Project ID: 00100113

Q2 2017 Forecast				
OUTPUTS NAME	Budget Q2 2017(US Dollar)	Reprogrammed Amounts + Adjustments(US Dollar)	Total Forecast (US Dollar)	Comments on Adjustments
Output 1: Up to 9 million Malawians are registered and issued with a National Identity card in 2017	4,723,472	6,463,071	11,186,543	In addition to the reprogrammed activities, - US\$ 58,750 have been added to the procurement of BRKS for the purchase of photo booths(US\$ 45,000),additional cable as back-up(US\$ 8,750) and software modification (US\$ 5,000). -US\$ 150,000 reallocated to activity 1.6.3(contracting of HR service provider) to cover the gap between the budget and PWC payment based on delivery. From HR savings.
Output 2: NRIS is transitioned to a permanent and continuous registration system	31,500	-	31,500	
Output 3: Government MDAs are assisted to adopt the use of the NRIS.	-	-	-	
Output 4: Project is efficiently managed, staffed and coordinated, and is	757,451	136,000	893,451	
SUB-TOTAL OUTPUTS	5,512,423	6,599,071	12,111,494	
Technical Support for Unforeseen Capacity Gaps (5%)	275,621	(275,621)	-	
UNDP Procurement Support Office (PSO) (4.5%)	90,000	-	90,000	
General Management Service Fees (GMS) (variable)	343,246	244,944	588,190	GMS related to reprogrammed activities.
TOTAL	6,221,290	6,568,394	12,789,684	